

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/972,756         | 10/05/2001          | Michael G. Katze      | A-70383-2/RMS/BTC      |

CONFIRMATION NO. 5359

## FORMALITIES LETTER



\*OC000000006975702\*

Robin M. Silva, Esq.  
FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Four Embarcadero Center, Suite 3400  
San Francisco, CA 94111-4187

Date Mailed: 10/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$84.
  - \$84 for 1 independent claims over 3 .
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 954.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/23/2002 NM0HAMM1 00000053 09972756

01 FC:201 .....370.00 GP  
02 FC:202 .....42.00 GP  
03 FC:205 .....65.00 GP

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Patent  
Attorney Docket No.: A-70383-2/RMS/AXG

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re  
application of: KATZE *et al.*

Examiner: J. Ketter

COPY OF PAPERS  
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Serial No. 09/972,756

Group Art Unit: 1636

Filed: October 5, 2001

**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence, including listed  
enclosures, is being deposited with the United States Postal  
Service as First Class Mail in an envelope addressed to: Assistant  
Commissioner for Patents, Box Missing Parts - Fee, Washington,  
DC 20231 on

For: Novel Screening Methods  
to Identify Agents that  
Selectively Inhibit  
Hepatitis C Virus  
Replication

Date: December 14, 2001  
Signed: Tina M. Ingrande  
Tina M. Ingrande

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
Box Missing Parts - Fee  
Washington, DC 20231

Sir:

In response to the Notice To File Missing Parts, dated October 26, 2001, in the above-  
referenced application, Applicants submit herewith the following documents:

1. Substitute Drawings (18 sheets); and
2. Check in the amount of \$477.00 for payment of the following fees.

Applicant claims small entity status under 37 CFR 1.27. The check amount is based  
on the fees in the USPTO 10/01 Fee Sheet.

|                             | <u>NO. FILED</u> | <u>NO. EXTRA</u> | <u>RATE</u> | <u>FEE</u>       |
|-----------------------------|------------------|------------------|-------------|------------------|
| Basic Fee                   |                  |                  |             | \$ 370           |
| Total Claims                | <u>12-20</u> =   | 0                | x 9 =       | \$               |
| Indep. Claims               | <u>4-3</u> =     | 1                | x 42 =      | \$ 42            |
| Multiple Dependent Claim(s) |                  |                  |             | \$               |
| Late Surcharge              |                  |                  |             | \$ <u>65</u>     |
| <b>TOTAL</b>                |                  |                  |             | <b>\$ 477.00</b> |

Serial No.: 09/972,756  
Filing Date: October 5, 2001


The Commissioner is hereby authorized to charge any additional fees, including any extension fees, which may be required or credit any overpayments to account No. 06-1300 (Order No. A-70383-2/RMS/AXG).

It is believed that submission of the above serves to fully comply with the requirements of the outstanding Notice to File Missing Parts Of Nonprovisional Application.

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Date: 12/14/01

  
\_\_\_\_\_  
Anna Gil (Reg. No. 46,726) for  
Robin M. Silva (Reg. No. 38,304)

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